

FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE; PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 045453.001	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	
				10/502239	
INTERNATIONAL APPLICATION NO. PCT/EP03/00744		INTERNATIONAL FILING DATE 24 January 2003 (24.01.2003)		PRIORITY DATE CLAIMED 25 January 2002 (25.01.2002)	
TITLE OF INVENTION DEVICE FOR THE PRODUCTION OF ANASTOMOSES BETWEEN HOLLOW ORGANS					
APPLICANT(S) FOR DO/EO/US SCHUBERT, Heinrich					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. Specification (12 pages), Claims (3 pages), Abstract (1 page), and Drawings (4 sheets, depicting Figs. 1-7); b. Power of Attorney (1 page); c. International Publication No. WO 03/061487 A1 (22 pages); d. Form PCT/IB/301 Notification of Receipt of Record Copy (2 pages); e. Form PCT/IB/304 Notification Concerning Submission or Transmittal of Priority Document (1 page); f. Form PCT/IB/308 Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (1 page); g. International Search Report (4 pages); h. International Preliminary Examination Report (3 pages); i. Certificate of Mailing Under 37 CFR § 1.10 (1 page); and j. Return Receipt Postcard. 					

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) 10/502239		INTERNATIONAL APPLICATION NO. PCT/EP03/00744		ATTORNEY'S DOCKET NUMBER 045453.001	
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<p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1060.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$900.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO..... \$750.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$720.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</p> <p style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 900.00</p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;"></th> </tr> <tr> <td>Total Claims</td> <td>18 - 20 =</td> <td>0</td> <td>x \$18.00</td> <td>\$</td> </tr> <tr> <td>Independent Claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$86.00</td> <td>\$</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$280.00</td> </tr> </table> <p style="text-align: right;">TOTAL OF ABOVE CALCULATIONS = \$</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p> <p style="text-align: right;">SUBTOTAL = \$</p> <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f))</p> <p style="text-align: right;">TOTAL NATIONAL FEE = \$</p> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +</p> <p style="text-align: right;">TOTAL FEES ENCLOSED = \$ 900.00</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"></td> <td style="width: 20%;">Amount to be refunded:</td> <td style="width: 20%;">\$</td> </tr> <tr> <td></td> <td>charged:</td> <td>\$</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total Claims	18 - 20 =	0	x \$18.00	\$	Independent Claims	1 - 3 =	0	x \$86.00	\$	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00		Amount to be refunded:	\$		charged:	\$	<p>CALCULATIONS PTO USE ONLY</p>
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																								
Total Claims	18 - 20 =	0	x \$18.00	\$																							
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	Amount to be refunded:	\$																									
	charged:	\$																									

a. ☒ Checks in the amount of **\$900.00** to cover the above fees is enclosed.

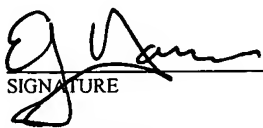
b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. **02-4300**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))
 must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Customer No.: 25,461 SMITH, GAMBRELL & RUSSELL, LLP 1230 Peachtree Street, N.E. Suite 3100, Promenade II Atlanta, GA 30309-3592 Telephone: (404) 815-3706 Facsimile: (404) 685-7006	 SIGNATURE <u>Eric J. Hanson</u> NAME <u>44,738</u> REGISTRATION NUMBER
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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

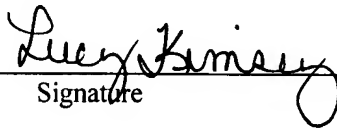
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Signature

Lucy Kimsey

Inventor(s) : SCHUBERT, Heinrich
Serial No. : To Be Assigned
Filing Date : Concurrent Herewith
Title : Device for the Production of Anastomoses Between Hollow Organs
Atty. Dkt. No. : 045453.001

The correspondence includes the following documents:

1. \$900.00 Check for Filing Fee;
2. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (2 pages, in duplicate);
3. Specification (12 pages), Claims (3 pages), Abstract (1 page), and Drawings (4 sheets, depicting Figs. 1-7);
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12. International Preliminary Examination Report (3 pages);
13. Form PTO/SB/08a Information Disclosure Statement with 1 foreign patent reference (23 pages);
14. Certificate of Mailing Under 37 CFR §1.10 (1 page); and
15. Return Receipt Postcard.